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Landsat Wildland Mapping Accuracy

Classification errors were attributable to the Landsat system itself, to project mapping objectives, and to analysts' decisions.

IGITAL IMAGE PROCESSING of Landsat Digital image processing techniques
data to derive land-cover classes was (Bernstein and Ferneyhough, Jr. (1975) and
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piled, and NPS personnel evaluated their The first three topics are well docupiled, and NPS personnel evaluated their

- *INTRODUCTIOR Landsat MSS data characteristics (see* Taranik (1978) for a concise overview).
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,~BSTRACT: A Landsat-aided classification of ten wildland resource classes was developed for the Shivwits Plateau region of the Lake Mead National Recreation Area. Single stage cluster sanzpling (without replacement) was used to verify the accuracy of each class. For verification, 63 plots were randomly selected throughout the classification image (gridded into 52 ha cells), located on 1:30,000 scale black-and-white aerial photogmphs, and gridded into nine 5.8 ha cells each. Resource specialists interpreted the 5.8 ha cells, field checked selected sites from light aircraft, und re-checked their photointerpretation. Construction of contingency tables revealed that there was less confusion between aggregated (more generulized) resource classes-grouped on the basis of soils, terrain, and vegetative cover similarities-than detailed resource categories. Parametric calculations of percentages correct und confidence intervals fully supported those findings.

utility and applications to the wide-ranging LMNRA planning effort. Details of one of the project's technical elements-accuracy assessment of a Landsat digital classification-form the basis for this article. Four distinct topical areas were involved:

Generalized, large-area mapping of vegetation and terrain in an arid wildland environment. Two examples include Garvin and Pascucci (1973) and Tueller et al. (1975).

mented, but quantitative techniques are only occasionally used to assess the accuracy of Landsat digital classifications. Remote sensing literature is limited in describing map accuracy assessment procedures, and subsequently explaining the results by examining the first three items outlined

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PHOTOGRAMMETRIC ENGINEERING AND REMOTE SENSING, Vol. 46, No. 4, April 1980, pp. 509-520.

FIG. 1. Portion of Landsat Band **7** image **(1303- 17441-7)** collected **22** May **1973** over Shivwits Plateau sector of Lake Mead National Recreation Area. Pinyon-Juniper woodland located at **A** and B; note higher reflectance of soils derived from limestone at A than soils derived from basalt at B. Shrub vegetation on soils derived from limestone **(C)** and steep talus slopes with an eastern expo- sure (D) have higher reflectance characteristics than the Pinyon-Juniper woodland (A, B) and basalt flows with sparse vegetative cover (E).

above. Using the **63,000** hectare Shivwits Plateau region (Figure 1, eastern portion of the LMNRA) as an example, we have attempted to fill this information gap.

METHODS

LANDSAT DATA PREPROCESSING AND CLASSIFICATION

The four-band Landsat digital data collected **22** May **1973** were subjected to standard preprocessing, clustering, and classification techniques using the ESL Interactive Digital Image Manipulation System (IDIMS) (Rohde, 1978). Preprocessing included histogram normalization, precision geometric correction, and spatial masking to exclude data outside the LMNRA boundary. Resampling of the data created **80** by **80** metre pixels geometrically registered to a UTM grid.

A clustering technique was used to derive resource classes for the Shivwits Plateau (Figure 2). First, an algorithm randomly located 15 by 15 pixel cluster sites throughout the area, whose combined area equaled **10** percent of the region's total area. The spatial distribution of the **49** selected cluster sites was superimposed onto a Landsat color composite and examined. Because all spectral variability within the region had not been accounted for, four sites were manually, electronically delineated using an interactive CRT device. All **53** cluster sites were submitted as a single data set to a clustering algorithm, which used the four Landsat bands to group the data into **39** clusters. Cluster means, variances, and covariance matrices were input to a Gaussian maximum likelihood classifier, which was used to classify three manually-chosen sites representative of terrain and vegetation within the Shivwits Plateau region. Visual evaluation of the classification results of these sites was good, and classification of the entire region was performed.

A preliminary grouping of the cluster classes into resource classes was modified after field checking, which indicated that certain cluster groupings were incorrect and that selected resource class descriptions were erroneous and/or incomplete. Field data were used to obtain the final cluster groupings (Figure 2c) and associated resource class descriptions (Table 1).

Another problem was the presence of ten small cumulus clouds and their shadows at the time of the Landsat overpass (Figure 1). Four spectral classes had been obtained for cloud shadow and three classes for cloud (not shown in Figure **2).** High altitude aerial photographs were used to map the resource types obscurred by the clouds and shadows; the new data were digitally inserted into the classification file.

Spatial stratification was used to resolve classification problems with clusters **2, 6,** and **32** (Figures 2b and **2c).** Pixels classified into cluster **32** were interspersed within basalt flows as well as throughout the pinyon-juniper woodland on the Shivwits Plateau. Those pixels were divided spatially between (1) basalt flows, **(2)** sparse pinyon-juniper on basalt, and **(3)** sparse pinyon-juniper on limestone. Pixel changes (the two sparse pinyon-juniper classes became new resource classes) were executed by manually locating the appropriate terrain boundaries on an interactive CRT device and using an algorithm to "change" pixel classification within designated regions. Similarly, pixels of clusters 2 and 6—occurring principally within basalt flows-were incorrectly located within the pinyon-juniper woodland region. In that part of the plateau woodland developed on soils derived from basalt, those pixels of clusters **2** and **6** were added to the medium-density pinyonjuniper on basalt category. Within the limestone region, pixels of clusters **2** and **6** were added to the sparse pinyon-juniper on limestone.

A pixel of **0.64** hectares is a relatively

LANDSAT WILDLAND MAPPING ACCURACY

FIG. 2. Sequence of Landsat Band 5 and 7 digital data representations, showing derivation of resource classes using clustering technique.

small minimum mapping unit for a largearea wildland resource map. It was decided that a more practical minimum mapping unit for general management purposes would be about 16 ha. Consequently, a 5 by 5 pixel (16) ha) neighborhood (window) was moved sequentially through the image, smoothing the classification by changing the window's center pixel class designation to that which occurred most frequently within the window. Both smoothed and unsmoothed classification maps were ultimately requested by the NPS, the former showing generalized results and the latter revealing the (more realistic) complexities of vegetation/terrain patterns. Accuracy assessment was performed on the smoothed classification results.

ACCURACY ASSESSMENT

To estimate the accuracy of the classifica-

tion map, a sample of observations located throughout the map was compared with ground data (obtained from aerial photographs and field notes) collected for the same areas. A single pixel (0.64 ha) observation size was not chosen because (1) the mean residual error of the geometric registration was about one pixel (a single pixel could not have been reliably located on an aerial photograph) and (2) the classification image had been spatially smoothed. Conversely, the 5 by 5 pixel (16 ha) sized observation-the size of the classification smoothing window—was deemed too large for verifying map accuracy. A 3 by 3 pixel (5.8 ha) observation was used as a compromise.

Single-stage cluster sampling (without replacement) was used to calculate the minimum number of observations needed to estimate the accuracy of each class. Using the formula

TABLE 1. RESOURCE CLASS DESCRIPTIONS FOR COMPUTER-ASSISTED, LANDSAT-DERIVED CLASSIFICATION OF LMNRA'S SHIVWITS PLATEAU REGION

$$
n_i = \frac{N_i \hat{p}_i \hat{q}_i}{(N_i) (E^2/t^2) + \hat{p}_i \hat{q}_i},
$$

- where n_i is the sample size (number of observations) of class **i,**
	- N_i is (total size of class i) ÷ (9),
 \hat{p}_i is the estimated accuracy of
		- is the estimated accuracy of class i (estimate provided by preliminary classification evaluation by NPS personnel),
	- *E* is the allowable error,
	- *t* is the Student's *t* statistic at the allowable error, and
	- \hat{q}_i is $(1 \hat{p}_i)$.

it was calculated that nearly 400 observations would need to be made (Table 2). Because project time and budget constraints prohibited such a large task, the observations were grouped into 9 by 9 pixel (51.8 ha) sample units (su's), each su containing nine *3* by *3* pixel observations. Hereafter, the following terminology is used:

- **pixel: single Landsat data element (0.64 ha).**
- **observation: matrix of** *3* **by 3 pixels (5.8 ha).** \bullet
- **sample unit: matrix of 3 by 3 observations. or 9 by 9 pixels (51.8 ha).**

The Landsat data were gridded into 51.8 ha sample units (sv) (Figure 3). **A** random sample of sv's were drawn and alphanumeric line printer classification maps were printed for each showing pixel class assignments (Figure 4). Each observation cell was checked for two conditions before the su was selected for accuracy assessment: (1) at least five contiguous pixels of the nine in the cell must be of the same class, and (2) whether the observation was needed to satisfy the minimum number of required observations as computed in the simple random sampling equation. By satisfying the second requirement for the more sparsely distributed resource classes, more observations were obtained for other classes than were required (Table 2). It was decided that all 510 eligible observations of the 63 selected su's would be used for accuracy assessment because (1) it would take relatively little additional time to collect ground data for the other observations located within the su, and (2) variances of the accuracy estimates might be reduced by including extra observations.

To locate su's on aerial photographs, the geometric control network was referenced to calculate longitude and latitude coordinates of su corners. Locations were plotted on Arizona 1:24,000 orthophotoquad map

TABLE 2. SINGLE-STAGE CLUSTER SAMPLING PARAMETERS

 $(Total number of resource class pixels) \div 9$.

² Estimated accuracy of resource class.

Allowable error.

'Required sample size (number of 3 by 3 pixel observations) for resource class, as computed from single-stage cluster sampling equatlon

Number of observations selected for accuracy assessment.

sheets (where available) or on USGS **1:24,000** scale topographic quadrangles, and subsequently transferred to NPS **1:30,000** scale black-and-white aerial photographs taken in **1970** (Figure **4).** Each su photo plot was checked for locational accuracy by examination of the gridded Landsat color composite image. The majority of su locations were spatially adjusted.

For each sample unit, an accuracy assessment worksheet was prepared that showed where class boundaries occurred within observations. Only that portion of an observation having at least five contiguous pixels of

gridded into 9 by 9 pixel sample units showing 63 randomly-selected samples for accuracy assessment. The two samples indicated by zeros are upland plateau areas and shrub densities at shown in Figure 4.

the same class was subject to ground data acquisition (Figure **4).**

Annotated worksheets and aerial photographs (with plotted su overlays) were submitted to a team of project participants who were not intimately knowledgeable with the final classification results and who could, therefore, collect unbiased ground data. This team was also provided with a list of the preliminary resource class definitions from which it devised a classification key.

The initial step in placing each observation into one of the ten resource categories was to determine if the sample was located within the light red or tan soils developed on the Kaibab Formation. or within basalt and basaltic soils. This distinction was accomplished by checking the su location on a **1:250,000** scale photo mosaic on which the principal soils boundaries were plotted. After assigning samples to a soils category, photo interpretation techniques were used to go through the remaining steps of the key. Each of the 510 observations was assigned to

a resource class, based upon the spatially

dominant class. a resource class, based upon the spatially

While the photo interpretation was being done, careful notes were made directly onto the worksheets whenever interpretation or definitional difficulties arose. The aerial photographs and completed worksheets

were taken to the LMNRA, where observations

from an airplane were used to field check

selected samples Most of the difficulties were taken to the LMNRA, where observations
from an airplane were used to field check **-1** selected samples. Most of the difficulties FIG. **3.** Landsat Band **7** image **(1303-17441-7)** which occurred during the interpretation both woodland crown closures within the lower elevations. Field notes were taken, as

FIG. 4. **Aerial photographs (upper) and computer-assisted, lineprinter classification maps of Landsat data (lower) of two example sample units, each gridded into nine 3 by 3 pixel observations. Resource classes: 0--data outside LMNRA boundary; 4-medium-density pinyon-juniper on** soils derived from basalt; 6—sparse pinyon-juniper on soils derived from basalt; 5—basalt flows with sparse vegetative cover; 8—cliffs and talus slopes with $>60\%$ slope; 9—sparse shrub association; 10-dense shrub association. Observation portions indicated by X not photointerpreted. **Note that lower-right observation of each sample contains an incorrect Landsat observation,** according to the photointerpretation.

well as oblique photos of certain sites for further documentation.

All interpretations were methodically reexamined to insure that they were consistent, that is, that interpretation criteria had been applied in the same fashion to all 510 observations.

After completing the photo interpretation, the corresponding line printer maps of the machine-aided classification results were compared with the recorded ground data. Two of the classes-Dense Pinyon-Juniper on Basalt (Eastern Exposure) and Cliffshad very few ground data observations. It was reasoned that the problem stemmed from incorrect or incomplete definitions of the classes, which caused assignment to other resource classes. For both of these classes a percentage slope element was added to the definition. The Dense Pinyon-Juniper on Basalt (Eastern Exposure) category was modified by adding the term ">20 percent Grade," while the Cliffs category was changed by adding the element ">60 percent Grade." The effect of each addition was to broaden the category definition. Aerial photographs, worksheets, and the revised definitions were returned to the project team that had acquired the ground data. They used a percent grade template to measure slope of all 510 observations plotted on USGS 1:24,000 scale topographic quadrangle maps. Revised interpretations were recorded on the original worksheets.

RESULTS

Two types of cross-tabulations and comparisons were made between the Landsatderived and ground data sets: (1) creation of contingency tables, or confusion matrices, and (2) calculation of percentage correct for each class, including statistical confidence interval.

To prepare the contingency table (Table *3),* each observation was checked to determine both the ground data categorization and the Landsat-aided classification. Such a table is useful because it shows which re-

RESOURCE CLASS CONTINGENCY TABLE (10-CLASS) ГАВLЕ 3.

source classes are confused with each other, based upon the sample. Most of the confusion between classes occurred within groups of resource classes with similar ground cover composition. For example, the four Pinyon-Juniper (Basalt) classes were more often confused with each other, rather than with $\begin{bmatrix} -\infty & -\infty \\ -\infty & \infty \end{bmatrix}$ the other six resource classes. Utilizing this natural hierarchy within the classification-based upon terrain, soils, and vegetative similarities-the data from Table s **g b(** + + **d(3** were aggregated to reveal the more general relationships within the Landsat-aided classification (Table **4).**

The contingency tables represent a nonparametric, descriptive mode of reporting the accuracy assessment data, while calculation of percentages correct and confidence intervals gives the resource manager a parametric estimate of the reliability of the accuracy estimates. Percentage correct is calculated for each class by the equation

$$
C_j = \frac{\sum\limits_{k=1}^n b_{jk}}{\sum\limits_{k=1}^n a_{jk}}
$$
 (100)

- where C_i is the percent correct for class *j*, b_{ik} is the number of observations correctly classified into class *j* in sample k, and
	- a_{jk} is the number of observations of class *j* in sample *k*, as determined from ground data

To calculate confidence intervals, the standard error of the estimate C_i must be found by the equation

$$
E(C_j) = \left(\frac{\sqrt{1-h}}{\sqrt{n} \overline{a}_j}\right).
$$

$$
\sqrt{\sum_{k=1}^{n} \left|b_{jk} - \left(\frac{C_j}{100}\right) (a_{jk})\right|^2}
$$

$$
n-1
$$
(100)

where $E(C_j)$ is the standard error of estimate of C_i ,

- C_i is the percent correct for class *j*,
	- is the number of samples units for class j,

LANDSAT WILDLAND MAPPING ACCURACY

		# Landsat observations					
	Generalized resource class	Pi -ju bas	Pi -ju lmstne	Shrub	Bas	$Cliff$ & slopes	Total
	Pinyon-juniper (basalt)	215	6				221
	Pinyon-juniper (limestone)	3	74	42			120
	Shrub		5	107	5	16	133
	Basalt				18		19
$\#$ Ground observations	Cliff & slopes $(>60\%)$			3		13	17
	Total	218	85	152	24	31	510

TABLE 4. RESOURCE CLASS CONTINGENCY TABLE (5-CLASS)

 $\sum_{k=1}^{\infty} a_{jk} \div n$,

 \bar{a}_i h is $n \div N$ (N is total number of sample units in project area), **aj,** is the number of observations

- of class *j* in sample k, as determined from ground data, and
- b_{ik} is the number observations correctly classified into class *j* in sample k.

Finally, statistical confidence intervals are calculated using the equation

$$
I_j = C_j \pm [t] [E(C_j)]
$$

- where I_i is the confidence interval for class *j,*
	- C_j is the percent correct for class *j*,
t is the appropriate critical value *is the appropriate critical value* of Student's *t* distribution, and
	- $E(C_i)$ is standard error of the estimate of C_i .

The *"t"* statistic used in the above formula was the appropriate value for each class at the 0.10 level of significance (90 percent probability level). Percent correct and confidence interval for each class are listed in Table *5.*

DISCUSSION

Results of the accuracy assessment may be explored by examination of three elements: (1) the Landsat system, (2) project mapping objectives, and (3) analysts' decisions.

LANDSAT SYSTEM

Landsat data preprocessing included histogram normalization and geometric registration of the data to a desired map projection. Both processes resulted in an improved data set, but also had residual errors which probably had negative effects on the accuracy results.

Histogram normalization (destriping) resulted in a data set which did have residual

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striping. The striping was more visually evident in the raw Landsat images than in the maximum likelihood classification image. Although visual evidence of striping was completely removed after smoothing the classification image, the initial effect of the radiometric defect probably caused classification error.

Geometric registration errors were about one pixel, as tested on the control point network used to develop the geometric transformation. Registration errors were noticed when checking the airphoto plots of the sample units (as originally determined from the control point network) against a gridded Landsat color composite. Image interpretation was used to correct sample unit locations, but analyst errors were certainly made at that time also. Of course, the fact that the observation unit was five to nine pixels in size masked most of the geometric registration error.

PROJECT MAPPING OBJECTIVES

Detailed resource classes were sought for the Shivwits Plateau region. Reexamining

Figure 2a, note that the dynamic range of the original data is relatively small, and that the clusters (Figure 2b) represent a large number of spectrally similar classes which correspond to the maximum raw data frequency concentration. Despite the fact that only two of the four Landsat bands are shown, the overlapping nature of the clusters-visually under-emphasized because only one standard deviation from the mean was drawn—indicates that derived resource class (Figures 2c and 2d) will be similar.

Not unexpectedly, there is a close association between spectral separability and the accuracy assessment results (Figure 5). Two resource classes containing cluster pairs with relatively low weighted divergence tended to be confused with each other, according to the ground data versus Landsat data comparison.

Justification for spatial, environmental stratification applied to the classification image—involving clusters 2, 6, and 32—is also revealed in Figure 5. [For the sake of diagrammatic clarity, we have indicated only the primary clusters of resource classes to

FIG. 5. Comparison of resource class contingency table with weighted divergence between cluster class pairs.

which stratification was applied. Clusters 2 and 6 were also a part of Medium Density Pinyon-Juniper (Basalt) and Sparse Pinyon-Juniper (Limestone), and cluster 32 was part of Basalt Flows.] Nearly every cluster of Pinyon-Juniper (Basalt), for example, has relatively low spectral separability with one of the two Basalt Flow clusters; stratification was needed to separate these two spectrally similar resource classes.

ANALYSTS DECISIONS

The problems of quantitative similarity of classes is compounded by at least three more considerations:

- The Landsat data are continuous (Figure 2a), alluding to the transitional situation of changing from one wildland resource class
to another. There are no distinct, isolated resource classes in the Shivwits Plateau area, nor are there discrete spectral groupings in the satellite multispectral data.
- We mav assume that there exist "correct" (optimum, best) boundaries within the Landsat four-vector space between desired resource classes. Using the clustering technique, however, we only obtained an approximation of those boundaries.
- The analysts must, in the end, define the resource class by describing the ground cover.

It is difficult, indeed, to describe the Landsat-derived resource classes, taking into account all of the above factors. Our final definitions (Table 1) do represent an attempt to consider the overlapping, transitional nature of the cluster resource classes and the approximation aspect of the clustering technique by using overlapping elements related to vegetative ground coverage. Within the upland forested categories we used overlapping crown closure percentages of the overstory, while within the shrub associations we used overlapping percentages of vegetative cover. Preliminary definitions had not included these overlap elements.

COSTS

The estimated \$30,800 expended on the Shivwits Plateau portion of the LMNRA project included NPS and **EDC** costs associated with:

- Personnel- 48 percent
- Travel-18 percent \bullet
- \bullet Imagery, ccr's, supplies-10 percent
- Machine time-24 percent

Costs (Table 6) reflect the demonstration and training aspects of the LMNRA cooperative effort, and would be considerably lower in an operational mode. Personnel costs were high-some 12 NPS and **EDC** scientists were directly involved throughout most parts of the project. Of course, the high personnel costs resulted in higher administrative costs as well as travel expenditures (NPS sent three to five scientists to the EROS Data Center for several training and analysis workshops). Detailed procedural documentation **(15** percent of costs) need not be as extensive in an operational project.

CONCLUSIONS AND RECOMMENDATIONS

We alluded above to three principal categories of classification error. The first category, Landsat system-geometric and radiometric problems related to preprocessing-probably accounts for only a small amount (perhaps 5 to 15 percent) of the error. Geometric and radiometric residual error are measurable, but we are aware of few studies which specifically discuss the effect of these errors on accuracy assessment results, both percentage correct and measures of variance or precision. More research is needed in this area.

The second category, project mapping objectives, refers to the detail of the resource classification scheme. We think that at least a third (perhaps 35 to **45** percent) of the classification error is directly related to trying to extract Landsat-derived resource classes whose spectral characteristics approach and sometimes reach-the noise level of the data. Quantitative measures of separability (weighted divergence between cluster class pairs) were available, but the concept becomes very complex in consideration of: large numbers of clusters and its subset of resource classes. Some research has been done in relating divergence to percentage correct (Swain and King, **1973),** and we referred to the subject in a non-empirical

TABLE 6. ESTIMATED COSTS BY MAJOR TASKS FOR SHIVWITS PLATEAU ANALYSIS

Major Task	Estimated Cost	$%$ of Subtotal
Planning	1,600 S	
Preprocessing	1,200	5
Classification	1,700	8
Post Classification	1.300	6
Accuracy Assessment	3.100	14
Field Work	3.000	14
Documentation	3.400	15
Administration	6,700	30
Subtotal	22,000	
Overhead (40%)	8,800	
TOTAL	\$30,800	

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fashion (Figure **5),** but further investigations Gamin, Lester E., and Richard F. Pascucci, **1973.**

cisions, we believe to have contributed ert, in *Proceedings of the Fourth Annual* about half (perhaps 45 to 55 percent) of the $\frac{Conference \text{ on } Remove \text{ } oms} {Lands, Tueson, Arizona, University of Arizona,}$ classification error. Each of the ten

Landsat-derived resource classes appeared

to represent a meaningful spatial pattern

within the Shivwits Plateau region. De-

scription of class polygons, however, re-

Computer Conf quired consideration of complex interaction
between both vegetative and terrain charbetween both vegetative and terrain char- swain, philip H., **1972,** *Pattern Recognition: A* Landscape definition is a scientific-yet subjective—process. versity, W. Lafayette, IN, 40 pp.

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